Payment Addresses for the Daily Account

For Regular Mail:

NASD Regulation, Inc. CRD-IARD

P.O. Box 7777-W9995

Philadelphia, PA 19175-9995

NOTE: This P.O. Box will not accept courier or overnight deliveries

For Express Delivery, Courier and Overnight Mail:

NASD Regulation, Inc. CRD-IARD

W9995

c/o Mellon Bank, RM 3490

701 Market Street

Philadelphia, PA 19106

*If a telephone number is required for the recipient, use 240-386-4848.

For Wire Payments:

The Riggs National Bank in Washington, DC

Provide your bank the following information to initiate the wire transfer:

ABA number: 054-000030

Beneficiary: NASD Regulation, Inc.

NASD Regulation Account Number: 086-761-52

Reference Number: IA Firm CRD Number

Note: Remember to inform your bank that funds are to be credited to the NASD Regulation,

Inc. bank account and to use the IA Firm's CRD Number as a reference number only.

Payment Addresses for the Renewal Account

For Regular Mail:

NASD Regulation, Inc., CRD-IARD

P.O. Box 7777-W8705

Philadelphia, PA 19175-8705

Note: This P.O. Box <u>will not</u> accept courier or overnight deliveries.

For Express and Overnight Mail:

NASD Regulation, Inc., CRD-IARD W8705 c/o Mellon Bank, RM 3490

701 Market Street

Philadelphia, PA 19106

*If a telephone number is required for the recipient, use 240-386-4848.